

01/18/01  
jc951 U.S. PTO

Express Mail: EL701425014US

Express Mail Date: January 18, 2001

01-19-01

APPROVED  
for  
PTO DEPOSIT ACCOUNT CHARGE  
ACCOUNT # 04-1700

Approved for use through 12/30/2000 OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:  <b>Assistant Commissioner for Patents Box Reissue Washington, DC 20231</b>	Attorney Docket No.	8403.186
	First Named Inventor	Donald E. Weder
	Original Patent Number	5,861,199
	Original Patent Issue Date (Month/Day/Year)	01/19/1999
	Express Mail Label No.	EL701425014US

### APPLICATION FOR REISSUE OF:

(Check applicable box)



Utility Patent



Design Patent



Plant Patent

### APPLICATION ELEMENTS (37 CFR 1.173)

- ☒ Fee Transmittal Form (PTO/ SB/ 56)  
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Specification and Claims in double column copy of patent format (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath/Declaration (original or copy)  
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53)  
☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(PTO/SB/96)

### ACCOMPANYING APPLICATION PARTS

- ☐ Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).
- ☐ Original U.S. Patent for surrender  
☐ Ribboned Original Patent Grant  
☐ Statement of Loss (PTO/SB/55)
- ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration  
(if applicable)
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Other: Statement Under 37 CFR 3.73(b)  
.....  
.....

### 15. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label



Correspondence address below

Name	Douglas J. Sorocco Dunlap, Coddling & Rogers, P.C.				
Address	9400 North Broadway, Suite 420				
City	Oklahoma City	State	OK	Zip Code	73114
Country	USA	Telephone	(405) 478-5344	Fax	(405) 478-5349

NAME (Print Type)	Douglas J. Sorocco	Registration No. (Attorney/Agent)	43,145
Signature		Date	1-18-01

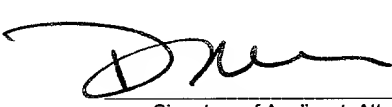
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01/18/01  
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Express Mail: EL701425014US  
 Date Deposited: January 18, 2001

PTO/SB/56 (08-00)  
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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number 8403.186		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 12	Total Claims (37 CFR 1.16(j))	(B) 12	**** 0 =	x \$ _____ =	0	or	x \$ _____ = 0	
(C) 2	Independent claims (37 CFR 1.16(i))	(D) 2	* 0 =	x \$ _____ =			x \$ _____ = 0	
Basic Fee (37 CFR 1.16(h))					\$ _____			\$ 710.00
Total Filing Fee					\$ _____	OR	\$ 710.00	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 12	MINUS	** 20	* = 0	x \$ _____ =		x \$ _____ = 0	
Independent Claims (37 CFR 1.16(i))	*** 2	MINUS	***** 4	= 0	x \$ _____ =		x \$ _____ = 0	
Total Additional Fee					\$ _____	OR	\$ 0	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> Please charge Deposit Account No. <u>04-1700</u> in the amount of <u>\$710.00</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>04-1700</u>. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div> <p><u>1-18-01</u></p> <p>Date</p> </div> <div style="text-align: center;">   <p>Signature of Applicant, Attorney or Agent of Record</p> <p><u>Douglas J. Sorocco, Reg. No. 43,145</u></p> <p>Typed or printed name</p> </div> </div>								

Express Mail No. **EL70142594US**

Date Deposited **January 18, 2001**

PTO/SB/53 (10-00)

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**REISSUE APPLICATION: CONSENT OF ASSIGNEE;  
STATEMENT OF NON-ASSIGNMENT**

Docket Number (Optional)  
8403.186

jc945 U.S. PTO  
09/764365

01/18/01

This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s) Donald E. Weder

Patent Number 5,861,199

Date Patent Issued 01/19/1999

Title of Invention Optical Effect Material and Methods

1. ☒ Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)
2. ☐ Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.

One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".

The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.

Southpac Trust International, Inc.

The assignee(s) owning an undivided interest in said original patent is/are \_\_\_\_\_,  
and the assignee(s) consents to the accompanying application for reissue.

Name of assignee/inventor (if not assigned)

Southpac Trust International, Inc., not individually, but as Trustee of the Family Trust U/T/A dated December 8, 1995.

Signature

*Charles A. Coddling*

Date

1-18-01

Typed or printed name and title of person signing for assignee (if assigned)

Charles A. Coddling  
Authorized Signatory for Southpac Trust International, Inc., not individually, but as Trustee of the Family Trust U/T/A dated December 8, 1995.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date Deposited January 18, 2001

PTO/SB/96 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Donald E. Weder

Application No./Patent No.: 5,861,199 Filed/Issue Date: 01/19/1999

Optical Effect Material and Methods

Entitled:

Southpac Trust International, Inc.

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8253, Frame 0767, or for which a copy thereof is attached.

OR

- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- [ ] Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1-18-01

Date \_\_\_\_\_

Charles A. Coddling

Typed or printed name

Signature

Southpac Trust International, Inc., not individually, but as Trustee of the Family Trust U/T/A dated December 8, 1995.

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.